Compliance with design principles: a case study of a widely used laboratory information system

Zhila Agharezaei,1 Reza Khajouei,1 Leila Ahmadian 1 and Laleh Agharezaei 1

1Department of Medical Informatics, Faculty of Medicine, Mashhad University of Medical Sciences, Mashhad, Islamic Republic of Iran. 1Health Services Management Research Center, Institute for Futures Studies in Health, Kerman University of Medical Sciences, Kerman, Islamic Republic of Iran. 1Medical Informatics Research Center, Institute for Futures Studies in Health, Kerman University of Medical Sciences, Kerman, Islamic Republic of Iran. (Correspondence to: L. Ahmadian: ahmadianle@yahoo.com). 1Modeling in Health Research Center, Institute for Futures Studies in Health, Kerman University of Medical Sciences, Kerman, Islamic Republic of Iran.

Abstract

Background: Laboratory information systems are widely used health information systems that have the potential to improve health care quality. Despite their benefits, many studies have indicated problems with user interaction with these systems due to poor interface design.

Aims: To evaluate the usability of a laboratory information system.

Methods: In this descriptive, cross-sectional study, we used heuristic evaluation to examine the user interface design of a laboratory information system in an academic hospital affiliated with Kerman University of Medical Sciences in 2017. This system is also used in 59 other Iranian hospitals. We investigated the usability of different parts of the usability of a laboratory information system (outpatient admission, inpatient admission, sample collection, and test result reporting). Data were collected using a standard form based on the heuristic evaluation method, and categorized based on their severity and violated heuristics. The content validity was confirmed by 3 medical informatics specialists.

Results: We identified 162 usability problems. In terms of the heuristics, the highest number of problems concerned flexibility and efficiency of use (n = 32, 19.75%) and the lowest concerned help users recognize, diagnose, and recover from errors (n = 2, 1.23%). In terms of different modules of the system, the highest number of problems (n = 51, 31.48%) concerned outpatient admission and the lowest (n = 29, 17.9%) concerned sample collection. In terms of severity, 45.06% of the problems were rated as major.

Conclusions: Despite widespread use of laboratory information systems, their user interface design has usability problems that diminish the quality of user interaction with these systems and may affect the quality of health care. Consideration of standards and principles for user interface design, such as the heuristics used in this study, could improve system usability.

Keywords: laboratory information system, usability evaluation, user interface, human–computer interaction, heuristic evaluation.

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Introduction

The health system of the Islamic Republic of Iran functions in an environment with rapidly changing social, economic and technical factors (1). The private and public sectors both provide healthcare services; however, the public sector, especially the Ministry of Health, plays a more important role in this regard (2). Comparative studies of healthcare systems in successful countries, introduced by the World Health Organization (WHO), and using their experiences, will assist the Islamic Republic of Iran to achieve a prosperous health system (3). Hospital information systems (HISs) are some of the most important and widely used information systems in health care (3). HISs are used for collecting, processing and retrieving patient information from different sources and using it for clinical and management decision-making (3,4). These systems can improve the quality of care and increase patients’ safety and providers’ efficiency (4–6). Most HISs contain subsystems including inpatient, outpatient, emergency, pharmacy, accounting, radiology, laboratory and medical records (7).

Laboratory information systems (LISs) are HISs that can be used to order tests, process samples, receive results, and create and communicate reports (8). LISs can improve laboratory processes and documentation accuracy of laboratory test results. Nevertheless, research has shown that the use of LISs can have major errors (8–10). Laboratory errors can lead to wrong diagnosis, inappropriate care, delayed treatment, poor clinical research, increased costs, and endanger patients’ lives (9). Many of these errors are related to usability problems of the LISs (11–14). So, identifying and then preventing the usability problems of LISs seem essential (15). The interface design of an LIS can have a dramatic effect on user interaction and satisfaction with the system (16–18). Evaluation of the system can be used for user interface redesign, to improve user acceptance and eliminate major problems in the system.
Heuristic evaluation (HE) is one of the usability evaluation methods. In this method, the compliance of an IS user interface design with some recognized standards is evaluated (19). Several studies have used HE in the healthcare sector, including evaluation of electronic health records (20), LISs (12,15,21,22) and radiology information systems (22).

To make working with ISs convenient, efficient and satisfactory, a series of standard principles should be followed in user interface design. The evaluation of systems according to these principles, which is called HE, was developed by Nielsen in 1990 (19). In this method a group of evaluators examine the user interface and judge its compliance against recognized principles (the heuristics) (23). According to Nielsen, 3–5 expert evaluators can identify an average of 74–87% of the problems (19,23). In Nielsen’s method, 10 main principles (heuristics) are used for evaluation of ISs.

Many studies have reported high usability problems that have a negative effect on user–system interaction (12,20,24). Several studies have evaluated the usability of LISs in developed countries (10,12,13,15,21), but it has not been sufficiently studied in some developing countries such as the Islamic Republic of Iran (22). Considering the importance of LISs in patient safety, the objective of this study was to evaluate the user interface design of an LIS in Kerman University of Medical Sciences, as an example of LISs used in Iranian hospitals.

Methods
In this study the user interface design of an LIS was evaluated in an academic hospital in 2017. This LIS is a subsystem of an HIS used actively in 60 hospitals (45 general and academic and 15 private) in the Islamic Republic of Iran. This LIS included the following 4 parts: outpatient admission, inpatient admission, sample collection and result reporting. The features of the LIS included patient records, patient information editing, patient search, test search, list of tests, sample collection editing, blood requests and delivery, quality control, and paraclinical services. This system was accessed in Bahonar Hospital in Kerman. We evaluated the user interface of the LIS in general; therefore, the results are not specific to this hospital.

Four evaluators independently evaluated the LIS using the Neilson principles (23). Evaluators included 2 medical informatics professionals, expert in usability evaluation, and 2 other evaluators who had master’s degrees in information technology management and information systems management with a background in software engineering. The latter 2 were trained in HE. Each evaluator examined conformity of different parts of the LIS with heuristics based on the method proposed by Neilson (23) and recorded any problems in a data collection form. The content validity of the form was confirmed by 3 medical informaticians. This form consisted of a table including problem name, problem description, problem location, and violated heuristic columns. After identification of the problems, their severity was determined according to Neilson (25), based on 3 factors: frequency, impact and persistence (Table 1).

The collected data from independent evaluations were compared and duplications were removed by evaluators from the identified problems. Then, the problem was inserted into 2 separate lists in terms of violated heuristics and evaluated modules of the system. The number of evaluators was recorded in front of the identified problem. Any disagreement about identified problems and the allocation of them to each heuristic was discussed and resolved in a joint meeting. Then data were analysed using SPSS version 22 (SPSS Inc., Chicago, IL, USA).

Results
HE of the LIS using Neilson’s principles identified 162 problems. The number of single problems were 68, of which, 46 were repeated in more than 1 part of the system. From the 68 single problems, 9 (13.24%) were identified by only 1 evaluator, 42 (61.76%) by 2 evaluators and 17 (25%) by all 4 evaluators.

Most of the identified problems were related to the flexibility and efficiency of use principles (n = 32, 19.73%), followed by aesthetic and minimalist design and visibility of system status (n = 27, 16.66%), consistency and standards (n = 36, 16.04%), error prevention (n = 15, 9.25%), recognition rather than recall (n = 11, 6.79%), help and documentation (n = 9, 5.55%), match between system and real world (n = 8, 4.93%), user control and freedom (n = 5, 3.08%), and the lowest number of problems was related to helping users recognize, diagnose and recover from errors (n = 2, 1.23%) (Table 2). Considering the different parts of the LIS, the greatest number of problems was related to outpatient (n = 51, 31.48%) and inpatient (n = 47, 29.01%) parts, and the least, was related to sample collection with 29 problems (17.9%) from a total of 162.

<table>
<thead>
<tr>
<th>Problem type</th>
<th>Severity</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>No problem</td>
<td>0</td>
<td>I do not agree that this is a usability problem at all.</td>
</tr>
<tr>
<td>Cosmetic</td>
<td>1</td>
<td>Need not be fixed unless extra time is available on project.</td>
</tr>
<tr>
<td>Minor</td>
<td>2</td>
<td>Fixing this should be given low priority.</td>
</tr>
<tr>
<td>Major</td>
<td>3</td>
<td>Important to fix, so should be given high priority.</td>
</tr>
<tr>
<td>Catastrophe</td>
<td>4</td>
<td>Imperative to fix this problem.</td>
</tr>
</tbody>
</table>
Table 3 presents the average severity of the problems related to each heuristic and the frequency of problems based on their severity. The average severity of problems concerning 4 heuristics, flexibility and efficiency of use, match between system and real world, user control and freedom, and help and documentation was major, while the average severity of problems related to other heuristics was minor. The maximum average severity of problems was 3.2, related to flexibility and efficiency of use, and the minimum was 2.0, related to aesthetic and minimalist design. Major severity was the most common (n = 73, 45.06%), followed by minor severity (n = 48, 29.62%), cosmetic severity (n = 22, 13.58%) and catastrophic severity (n = 19, 11.72%) (Table 3). Some of these problems, if they continue, can have negative effects on user performance, such as fatigue, confusion, and wasting time. This can cause errors and subsequently reduce the quality of care and patient safety (Table 4).

**Problems identified by Nielsen’s principles**

**Visibility of system status**

Problems concerning nonconformity to this principle were more frequent in Inpatient and Outpatient Admission sections. These problems included selecting inappropriate titles in windows; lack of the horizontal scroll bar in presentation of patients’ search information; unspecified hierarchy under windows; and not showing row number of task list in reports. Some of these problems, such as the first and second, were identified by all 4 evaluators.

**Match between the system and real world**

Two problems associated with this principle confused the users and wasted their time: (1) nonidentical order of displayed tests on the computer and on the printed results sheet (this can cause mistakes while entering the results, demanding a lot of time to review the input data); and

Table 2 **Number of problems associated with all Nielsen principles in laboratory information system, divided by different sections**

<table>
<thead>
<tr>
<th>Heuristic</th>
<th>Outpatient admission</th>
<th>Inpatient admission</th>
<th>Sample collecting</th>
<th>Result reporting</th>
<th>Total (% of total)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Visibility of system status</td>
<td>8</td>
<td>8</td>
<td>5</td>
<td>6</td>
<td>27 (31.48%)</td>
</tr>
<tr>
<td>Match between system and real world</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>8 (21.60%)</td>
</tr>
<tr>
<td>User control and freedom</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>1</td>
<td>5 (13.58%)</td>
</tr>
<tr>
<td>Consistency and standards</td>
<td>8</td>
<td>8</td>
<td>5</td>
<td>5</td>
<td>26 (17.90%)</td>
</tr>
<tr>
<td>Help users recognize, diagnose and recover from errors</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>4 (11.72%)</td>
</tr>
<tr>
<td>Error prevention</td>
<td>5</td>
<td>3</td>
<td>3</td>
<td>4</td>
<td>15 (29.01%)</td>
</tr>
<tr>
<td>Recognition rather than recall</td>
<td>4</td>
<td>4</td>
<td>0</td>
<td>3</td>
<td>11 (17.90%)</td>
</tr>
<tr>
<td>Flexibility and efficiency of use</td>
<td>10</td>
<td>10</td>
<td>6</td>
<td>6</td>
<td>32 (21.60%)</td>
</tr>
<tr>
<td>Aesthetic and minimalist design</td>
<td>9</td>
<td>7</td>
<td>5</td>
<td>6</td>
<td>27 (15.18%)</td>
</tr>
<tr>
<td>Help and documentation</td>
<td>3</td>
<td>3</td>
<td>1</td>
<td>2</td>
<td>9 (11.72%)</td>
</tr>
<tr>
<td>Total (n)</td>
<td>51</td>
<td>47</td>
<td>29</td>
<td>35</td>
<td>162 (100%)</td>
</tr>
</tbody>
</table>

Table 3 **Identified usability problems per violated heuristics and severity**

<table>
<thead>
<tr>
<th>Heuristic</th>
<th>Cosmetic</th>
<th>Minor</th>
<th>Major</th>
<th>Catastrophe</th>
<th>Total</th>
<th>Average severity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Visibility of system status</td>
<td>4</td>
<td>6</td>
<td>17</td>
<td>0</td>
<td>27</td>
<td>2.4</td>
</tr>
<tr>
<td>Match between system and real world</td>
<td>0</td>
<td>5</td>
<td>1</td>
<td>2</td>
<td>8</td>
<td>3.0</td>
</tr>
<tr>
<td>User control and freedom</td>
<td>0</td>
<td>4</td>
<td>0</td>
<td>1</td>
<td>5</td>
<td>3.0</td>
</tr>
<tr>
<td>Consistency and standards</td>
<td>7</td>
<td>6</td>
<td>9</td>
<td>4</td>
<td>26</td>
<td>2.2</td>
</tr>
<tr>
<td>Help users recognize, diagnose and recover from errors</td>
<td>0</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>2 (2.0)</td>
<td></td>
</tr>
<tr>
<td>Error prevention</td>
<td>0</td>
<td>6</td>
<td>9</td>
<td>0</td>
<td>15</td>
<td>2.4</td>
</tr>
<tr>
<td>Recognition rather than recall</td>
<td>1</td>
<td>4</td>
<td>6</td>
<td>0</td>
<td>11</td>
<td>2.4</td>
</tr>
<tr>
<td>Flexibility and efficiency of use</td>
<td>0</td>
<td>4</td>
<td>20</td>
<td>8</td>
<td>32</td>
<td>3.2</td>
</tr>
<tr>
<td>Aesthetic and minimalist design</td>
<td>10</td>
<td>8</td>
<td>9</td>
<td>0</td>
<td>27</td>
<td>2.0</td>
</tr>
<tr>
<td>Help and documentation</td>
<td>0</td>
<td>5</td>
<td>0</td>
<td>4</td>
<td>9</td>
<td>2.6</td>
</tr>
<tr>
<td>Total (n)</td>
<td>22</td>
<td>48</td>
<td>73</td>
<td>19</td>
<td>162</td>
<td>(13.58%)</td>
</tr>
</tbody>
</table>

(29.62%) (45.06%) (11.72%)}
Inconsistency and standards

Violation of this principle was mostly related to the sections Inpatient and Outpatient Admission and Test Orders. Some problems included: inconsistency of the window titles (Farsi/English/No Title); inconsistent application of highlighting techniques (like bolding text); different information display for test orders in inpatient and outpatient sections. The first problem was identified by all 4 evaluators.

Help users recognize, diagnose and recover from errors

Helping users recognize, diagnose, and recover from errors involves providing feedback as the user performs actions and providing information about the status of the system. Problems associated with this area included: (1) inability to identify the functions of the existing items on the previous orders of the patient and the abbreviated name field in the test orders window; and (2) inability to magnify windows. These issues contributed to users feeling overwhelmed and frustrated.

Error prevention

Error prevention involves taking preventative measures to decrease the likelihood of errors. Problems associated with this area included: failure to prevent the entering of wrong data; and allowing users to enter numerical data in letter fields. These issues contributed to increased errors and frustrations for users.

Recognition rather than recall

Recognition rather than recall involves being able to recognize relevant information when it is needed. Problems associated with this area included: inability to identify the functions of the existing items on the previous orders of the patient and the abbreviated name field in the test orders window; and inability to magnify windows. These issues contributed to users feeling overwhelmed and frustrated.

Flexibility and efficiency of use

Flexibility and efficiency of use involves being able to use the system in a variety of ways. Problems associated with this area included: application of small font size; bad colours (overly light-coloured text); crammed information on the test orders page; and large load of information in advanced search results. These issues contributed to users feeling overwhelmed and frustrated.

Aesthetic and minimalist design

Aesthetic and minimalist design involves making the system visually appealing and easy to use. Problems associated with this area included: absent or inaccessible program Help (including help buttons, and descriptive, procedural, interpretational, and navigational info). These issues contributed to users feeling overwhelmed and frustrated.

Help and documentation

Help and documentation involves providing clear and comprehensive information about the system. Problems associated with this area included: absence or inadequacy of program Help (including help buttons, and descriptive, procedural, interpretational, and navigational info). These issues contributed to users feeling overwhelmed and frustrated.

User control and freedom

Compared to other principles, problems associated with this area seemed fewer; 2 of which were: (i) inaccessibility to the previous or next windows (there was only the back button in some windows; otherwise, users needed to close the window and start over); and (ii) inability to remove a sample in the delivered samples section; the latter confused the users more than the former.

Consistency and standards

Violation of this principle was mostly related to the sections Inpatient and Outpatient Admission and Test Orders. Some problems included: inconsistency of the window titles (Farsi/English/No Title); inconsistent application of highlighting techniques (e.g., bolding text); different information display for test orders in inpatient and outpatient sections. The first problem was identified by all 4 evaluators.
Problems arising from violation of this principle were found mostly in the Outpatient Admission and Results sections. Some examples were: failure to prevent entering of wrong data (the input field for year of birth provided 4 characters, yet, when entering the data, only the far right 2 characters were recorded); generating no error message for entering numerical data in letter fields; allowing the user to disable the year of birth field, and then, upon submission, displaying an error message for missing year of birth.

Problems concerning this principle were mostly detected in the Admission and Test Orders, as well as Results sections. Inability to identify the functions of the existing items on the previous orders of the patient and the Abbreviated Name field in the test orders window; unknown function of the field According to Patient List on the laboratory working list; separated and scattered insurance data; and lack of a title for the sidebar checkbox for the list of tests on the test orders window were some of these problems.

Problems arising from ignoring this principle mostly involved the Test Orders section. Fifty percent of them were identified by all 4 evaluators. Some problems were: inability to magnify windows; lack of settings to accommodate user preferences (colour, font, window size, and customization of the program); and difficulty using the vertical scrollbar. Among the problems rendering the interface challenging for the users were: invisibility of the titles of patient information columns (some information remained invisible even after widening the cells); inability to print the test fees (users had to manually write down the test fees one by one on the prescription).

Our results confirm those of other studies. The surveys of Alanaziet al. (15) and Mathews et al. (21) using System Usability Scale (SUS) showed that usability of LISs was poor. Most evaluation methods have reviewed user satisfaction and attitude about HISs (32); compliance with the users’ needs (32); the impact of HISs on the factors influencing quality of clinical services, hospital performance, and work processes (7); and usability and efficiency of HISs (33). Evaluations conducted in these previous studies used questionnaires, interviews and checklists, and did not address the usability problems that users may have encountered during actual interaction with the computer.

Discussion

Problems arising from violation of this principle were found mostly in the Outpatient Admission and Results sections. Some examples were: failure to prevent entering of wrong data (the input field for year of birth provided 4 characters, yet, when entering the data, only the far right 2 characters were recorded); generating no error message for entering numerical data in letter fields; allowing the user to disable the year of birth field, and then, upon submission, displaying an error message for missing year of birth.

Problems concerning this principle were mostly detected in the Admission and Test Orders, as well as Results sections. Inability to identify the functions of the existing items on the previous orders of the patient and the Abbreviated Name field in the test orders window; unknown function of the field According to Patient List on the laboratory working list; separated and scattered insurance data; and lack of a title for the sidebar checkbox for the list of tests on the test orders window were some of these problems.
study, we observed that because of poor usability and difficulty in working with the LIS, users refuse to use some sections and perform their tasks manually.

Expertise in evaluation and in the domain of the studied system results in better identification of problems (29,34). Two of our evaluators were medical informaticians with a long history of working in healthcare, and usability evaluation skills, and the other 2 evaluators were proficient in computer systems. This increased the reliability of our findings.

Nielsen (19) has shown that increasing the usability of user interfaces requires regular evaluation and updating indifferent stages of the system development lifecycle (19). The updating process must include adding new functions and troubleshooting of the existing problems, which increases users’ understanding of the system. This type of evaluation can be done using an inexpensive and simple evaluation method such as HE (35). It is easy to train the evaluators and it produces large amounts of information. Also, HE can be carried out in a short time, so the list of problems is quickly available (19,35).

This study had 2 limitations. First, we evaluated a widely used LIS (in 60 hospitals) at a single hospital. Since it was not checked whether the other 59 hospitals use the same version of the system or an upgraded or customized version, generalization of the results to the other LISs should be done with caution. However, we believe that since most and the main functionalities and features of the systems are similar, this cannot significantly affect our results. Second, HE identifies problems that mostly hinder novice users’ interaction with a system. Therefore, if the users have received extensive training and supervision specifically concerning how to work around or be careful of the user interface issues that was found in this study, these problems should not be critical threats for the outcomes of users’ interaction, such as clinical actions. Future studies can check this issue by evaluating the effect of user interface problems on actual users’ actions.

**Conclusion**

We have shown that conducting a usability evaluation can help identify the origin of problems causing new errors, users’ fear of operating the system, and their resistance to it. These problems occur due to noncompliance of ISs design with the accepted standards and principles, which may impede user–IS interaction. A defective or unsuccessful interaction leads to an undesirable experience when operating the system and result in poorer quality of care. Our results can be used to obviate the identified problems in redesign process and prevent them in the new ISs.

**Recommendations**

The following recommendations may improve and correct ISs.

- Providing printable output for test fees; documentation and accessibility of help; appropriate design of patient information forms; proper definition of search options; use of attractive techniques including bold colours, text size, fonts, etc.; hierarchical categorization and structuring to prevent information overload; use of specific and short titles for pages; definition of icons proportionate to the expected function; and preventing user errors in the system.
- Conducting user need assessment prior to IS design to ensure compliance with user needs, and conducting usability studies throughout all design and development stages.
- Providing developers with design standards prior to commencing design to improve system usability.

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**Competing interests:** None declared.
Respect des principes de conception : étude de cas d’un système d’information de laboratoire dont l’utilisation est largement répandue

Résumé

Contexte : Les systèmes d'information de laboratoire sont des systèmes d'information sanitaire largement utilisés qui ont le potentiel d’améliorer la qualité des soins de santé. Ces systèmes présentent des avantages, mais de nombreuses études ont mis en évidence des problèmes d’interaction avec les utilisateurs en raison d’une mauvaise conception de l’interface.

Objectifs : La présente étude visait à évaluer l'utilisabilité des systèmes d'information de laboratoire en milieu hospitalier.

Méthodes : Dans la présente étude transversale descriptive, réalisée en 2017, nous avons eu recours à l'évaluation heuristique pour examiner la conception de l'interface utilisateur d’un système d'information de laboratoire employé dans un hôpital universitaire affilié à l'Université des Sciences médicales de Kerman. Ce système est également utilisé dans 59 autres hôpitaux iraniens. Nous avons étudié l'utilisabilité de différents modules du système (admission en ambulatoire, admission en séjour hospitalier, collecte d'échantillons et notification des résultats de test). Les données ont été recueillies à l'aide d’un formulaire type basé sur la méthode d’évaluation heuristique. Elles ont ensuite été classées selon leur gravité et les heuristiques ayant été transgressées. La validité du contenu a été confirmée par trois spécialistes en informatique médicale.

Résultats : Nous avons recensé 162 problèmes d’utilisabilité. L’heuristique pour laquelle le plus grand nombre de problèmes ont été rapportés était la flexibilité et l’efficacité d’utilisation (n = 32, 19,75 %), tandis que l’aide à la reconnaissance, au diagnostic et à la réparation des erreurs était celle pour laquelle on rapportait le plus petit nombre de problèmes (n = 2, 1,23 %). L’admission en ambulatoire était le module du système pour lequel le plus grand nombre de problèmes a été notifié (n = 51, 31,48 %), tandis que la collecte d'échantillons était celui pour lequel on rapportait le plus petit nombre de problèmes a été notifié (n = 29, 17,9 %). En ce qui concerne la gravité, 45,06 % des problèmes ont été jugés majeurs.

Conclusions : Malgré l’utilisation répandue des systèmes d’information de laboratoire, les interfaces présentent souvent des problèmes d’utilisabilité qui diminuent la qualité de l’interaction avec les utilisateurs et peuvent affecter la qualité des soins de santé offerts. Ainsi, au moment de concevoir les interfaces utilisateurs, il pourrait être utile de s’appuyer sur des normes et des principes, tels que les heuristiques utilisées dans cette étude. Cela pourrait en effet permettre d’améliorer la convivialité des systèmes.
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