

**United Nations Children's Fund (UNICEF) World
Health Organization (WHO)
United Nations Office for Project Services
(UNOPS)**

**Yemen Emergency Human Capital Project
Additional Financing (YEHCP-AF) (P178665)**

Draft version

**ENVIRONMENTAL AND SOCIAL COMMITMENT
PLAN (ESCP)**

10 June, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Nations Children's Fund, the World Health Organization, and the United Nations Office for Project Services (hereinafter UNICEF, WHO, and UNOPS or the **Recipients**) shall jointly implement the **Yemen Emergency Human Capital Project- Additional Financing (AF) (YEHCP-AF; P178665)** (the **Project**) with the involvement of selected implementing partners, i.e., local agencies that the Recipients may engage to facilitate implementation of the Project as set out in the Financing Agreements. The Yemen Emergency Human Capital Project (P176570) will be indicated hereinafter as the parent project. The International Development Association (hereinafter the **Association**) has agreed to provide financing for the Project as set out in the referred agreements.
2. UNICEF, WHO, and UNOPS (the Recipients) shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that UNICEF, WHO, and UNOPS shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and Recipients (UNICEF, WHO, and UNOPS), this **ESCP** may be revised from time to time during project implementation, to reflect adaptive management of project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipients (UNICEF, WHO, and UNOPS) shall agree to the changes with the Association and shall agree to update the **ESCP** to reflect these changes. Agreement on changes to the **ESCP** shall be documented through the exchange of letters signed between the Association and the Recipients. The Recipients shall promptly disclose the updated **ESCP**.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, the status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Every 6 months as part of the Project’s technical progress report starting from the Effective Date and to be maintained throughout the Project implementation.</p>	<p><i>UNICEF, WHO, UNOPS</i></p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>The Recipients (UNICEF, WHO, and UNOPS) shall promptly notify the Association of any incident or accident related to the Project, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public or workers including without limitation, explosions, spills, and any workplace accidents that result in death or serious injuries, any violent and exceptional labor incident or dispute involving the Recipients or security forces in the Project area, and local communities or any gender-based violence (GBV), sexual exploitation and abuse and sexual harassment (SEA/SH) suffered by beneficiaries receiving support under Respective Parts of the Project or Project workers.</p> <p>Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence to the extent that the cause of Significant Event is within the scope of the Recipient’s control.</p>	<p>The Recipients (UNICEF, WHO, and UNOPS) shall notify the Association within 48 hours after learning of the incident or accident, and provide an initial report within 10 days of that notification indicating possible root causes and proposing possible corrective actions, as requested by the Association</p> <p>Throughout Project Implementation as per the timeline indicated by the Association.</p>	<p><i>UNICEF, WHO, UNOPS</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
C	<p>CONTRACTORS REGULAR REPORTS</p> <p>Contractors shall be required to provide regular monitoring reports on the environmental, social, health and safety (ESHS) performance including but not limited to the implementation of ESMPs and measures related to Labor and Occupational Health and Safety, and GM implementation to the Recipients. Such reports shall be submitted to the Association upon request.</p>	Submit monthly report to the Association during the project implementation period upon request.	<i>UNICEF, WHO, UNOPS</i>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>The Recipients (UNICEF, WHO, UNOPS) shall each establish and maintain their own respective management structures to implement the Project (Project Management Units or PMUs) with sufficient and qualified staff and adequate resources to support management of ESHS risks and impacts of the project including environmental, OHS, gender /social, all with Job Descriptions that outline the qualifications and experience acceptable to the Association. Local implementing partners shall also use site engineers/consultants as needed to perform the monitoring and reporting functions.</p>	<p>For WHO and UNOPS, Adequate E&S staff shall be maintained in place prior to the start of the relevant activities and shall be maintained throughout Project implementation.</p> <p>UNICEF shall hire prior to the Effective Date and maintain throughout project implementation adequate staffing to cover Environmental, Occupational Health, and safety (OHS) aspects as well as social and gender aspects to be fully engaged and support the management of ESHS risks and impacts.</p> <p>The Recipients may combine their efforts and share human resources where required to enhance efficiency.</p>	<i>UNICEF, WHO, UNOPS</i>
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>Each Recipient shall prepare, disclose, consult upon, adopt, and implement an Environmental and Social Management Framework (ESMF), for their respective part of the project, to be consistent with the ESF including the requirements of ESS1 and all relevant ESSs. The ESMFs will be implemented in a manner acceptable to the Association.</p> <p>UNICEF and WHO will each prepare, disclose, consult upon, adopt, and implement a standalone Medical Waste Management Plan (MWMP) consistent with the ESF and the requirements of relevant ESSs.</p>	<p>The ESMFs of the parent project have been prepared and disclosed. ESMFs shall be updated for the AF, disclosed, consulted upon, and adopted prior to the Effective Date and implemented throughout Project implementation. Updated ESMFs shall be applied to both the parent project as well as the AF.</p> <p>The MWMPs of the parent project have been prepared and disclosed. The existing MWMPs shall be updated for the AF, disclosed, consulted upon, and adopted prior to the Effective Date and implemented throughout Project implementation. Updated MWMPs shall be applied to both the parent project as well as the AF.</p>	<p><i>UNICEF, WHO, UNOPS</i></p> <p><i>UNICEF and WHO</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Screen any proposed sub-project in accordance with the respective Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, disclose, consult upon, finalize with incorporation of relevant feedback, adopt, and implement the specific ESMPs as required, in a manner acceptable to the Association.</p>	Each ESMP shall be prepared, disclosed, consulted upon, and adopted before the carrying out of the relevant sub-Project activities, and thereafter implemented throughout Project implementation	<i>UNICEF, WHO, UNOPS</i>
1.4	<p>MANAGEMENT OF CONTRACTORS</p> <p>Ensure that the relevant aspects of this ESCP, including, inter-alia, ESMP and Labor Management Procedures requirements are included into the ESHS specifications of the procurement documents with all contractors and sub-contractors, including those that will be signed under the credit line for all sub-projects by contractors selected for the specific sub-projects.</p> <p>Ensure that all contractors develop Contractors’ ESMP.</p> <p>Ensure that contractors and sub-contractors comply with the ESHS specifications of their respective contracts and report on them in line with the requirements of this ESCP.</p>	The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities and shall thereafter comply with throughout the carrying out of such activities.	<i>UNICEF, WHO, UNOPS</i>
1.5	<p>CONTINGENT EMERGENCY RESPONSE COMPONENT (CERC)</p> <p>(a) Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements for the implementation of the CERC component in accordance with the ESSs.</p> <p>(b) Prepare, disclose, consult upon, and adopt any E&S management plans or instruments which may be required for activities under the CERC component of the Project, in accordance with the CERC Manual and the ESSs, and thereafter implement the measures and actions required under said E&S management plans or instruments, within the timeframes specified in said E&S management plans or instruments.</p>	<p>(a) The adoption of the CERC Manual and, if applicable, other documents as relevant in form and substance acceptable to the Association is a withdrawal condition under Section IV.B (c) of Schedule 2 of the Financing Agreements.</p> <p>(b) The E&S management plans or instruments shall be prepared, disclosed, consulted upon, and thereafter adopted before carrying out of the relevant Project activities under the CERC component. The E&S management plans or instruments shall be implemented in accordance with their terms, throughout Project implementation.</p>	<i>UNICEF, WHO, UNOPS</i>

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1.6	<p>THIRD PARTY MONITORING:</p> <p>Third Party Monitoring Agent(s) shall be engaged on the terms of reference satisfactory to the Association, to provide independent review of project implementation and verification of project results and adherence to all Environmental & Social requirements of the Project Operations Manual (POM), the ESCP and all ESHS instruments, including through, inter alia: periodic site visits, assessment of local context and conditions, interviews, awareness raising, training and preparation of reports.</p> <p>Each monitoring report prepared by the Third-Party Monitoring Agent(s) shall cover a period of three (3) months.</p>	<p>Prior to the Effective Date, Each Recipient shall hire a Third-Party Monitoring Agent (TPMA) and maintain throughout its Project implementation</p> <p>Throughout the Project implementation</p>	<p><i>UNICEF, WHO, UNOPS</i></p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1.	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Each Recipient shall prepare and implement a standalone project labor management procedure (LMP) for all Project workers, aligned with the requirements of ESS2 and in a manner acceptable to the Association.</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, among others, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), prohibiting forced labor, and employment of children under the age of 18 on any aspect of the Project, setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors.</p> <p>Include in the LMPs and implement the requirement that relevant Project workers, as defined in paragraph 3 of ESS 2, sign a code of conduct (CoC) to uphold ethical standards and relevant E&S obligations and national legislation, prior to carrying out activities under the Project. Include procedures for operating the sub-projects sites and conducting the activities in accordance with COVID-19 mitigation measures.</p>	<p>The LMPs of the parent project have been prepared and disclosed. These LMPs shall be updated for the AF, disclosed and adopted prior to the Effective Date of each Grant and prior to engaging Project Workers and implemented thereafter throughout Project implementation. Updated LMPs shall be applied to both the parent project as well as the AF.</p> <p>Throughout Project implementation</p>	<p><i>UNICEF, WHO and UNOPS</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>A specific Grievance Mechanism (GM) shall be established for Project workers as part of the project LMPs. The grievance mechanisms will be established and maintained and remain available and functional to receive and facilitate resolution of concerns and grievances in relation to the Project. As per ESS10.2, the same actions shall be applied, and the communication details shall be distributed for workers at the sub-project sites</p>	GM for each Recipient shall be operational prior to engaging Project workers. GM shall be established before contractor mobilization and maintained throughout the Project implementation.	UNICEF, WHO, UNOPS
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Include in the ESMPs and implement occupational health and safety (OHS) measures as per applicable Yemen national laws, ESS2, and World Bank Group’s Environmental Health and Safety Guidelines (EHSGs) as specified in ESMFs, see 1.2 above.</p>	Throughout the project implementation	UNICEF, WHO, UNOPS
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT PLAN:</p> <p>General waste management procedures shall be prepared as per the requirements of ESS3 and shall be included in the ESMFs and site specific ESMPs and implemented thereafter, all in a manner acceptable to the Association.</p>	The existing waste management procedure shall be updated for the AF, disclosed and adopted prior to the Effective Date. The latter should be implemented throughout the project implementation	UNICEF, WHO, UNOPS
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</p> <p>Resource efficiency and pollution prevention and management measures will be covered under the ESMFs to be prepared under action 1.2 and 1.3 above, including, inter alia, measures to manage hazardous and non-hazardous wastes and to use resources (water, air, etc.) in accordance with the updated Medical Waste Management Plan (MWMP), implementing agencies’ rules and regulations and consistent with ESS3, relevant World Bank Group’s Environmental, Health and Safety Guidelines (WBG EHS Guidelines), and relevant sources of Good International Industry Practices (as defined in ESS3) .</p>	The ESMFs of the parent project have been prepared and disclosed. ESMFs shall be updated for the AF, disclosed, consulted upon and adopted prior to the Effective Date and implemented throughout the Project implementation. Updated ESMFs shall be applied to both the parent project as well as the AF.	UNICEF, WHO, UNOPS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY:</p> <p>Include and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action 1.2 above.</p>	Throughout the project implementation.	<i>UNICEF, WHO, UNOPS</i>
4.2	<p>COMMUNITY HEALTH AND SAFETY:</p> <p>Include in the updated ESMFs and E&S instruments (for example site specific ESMPs) measures to minimize the potential for community and workers exposure to hazardous materials, activities that present risk of injuries; minimize the potential for community exposure to communicable diseases; endeavor to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable (including physical and non-physical accessibility for persons with disabilities and other vulnerable groups), have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx.</p>	ESMPs shall be prepared, disclosed and adopted prior to commencement of relevant activities and maintained throughout the relevant activities' implementation.	<i>UNICEF, WHO, UNOPS</i>
4.3	<p>GBV AND SEA RISKS:</p> <p>Each Recipient shall prepare and implement a standalone Gender- Based Violence Action Plan (GBV), in accordance with ESS4 and relevant WB good practice notes on GBV, and in line with existing inter-agency frameworks and agreements, to assess and manage GBV risks related to the Project and prevent and respond to sexual exploitation and abuse, and sexual harassment. The GBV Action Plans will build on the work already done through the GBV Retro-Fitting Action Plan within the EHNP project. The plan shall include, but is not limited to, site-specific ESMPs actions to prevent and mitigate risks of sexual exploitation and abuse and sexual harassment (SEA/SH) in line with the ESMFs and enforce their implementation; development and adherence to Code of Conduct; GBV-sensitized grievance mechanisms; awareness raising of project workers and community members on GBV risks and mitigation measures.</p>	The GBV action plans of the parent project have been prepared and cleared. GBV action plans shall be updated for the AF prior to the Effective Date and Implemented throughout Project implementation. Updated GBV action plan shall be applied to both the parent project as well as the AF.	<i>UNICEF, WHO and UNOPS</i>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.4	<p>SECURITY RISK MANAGEMENT</p> <p>Each Recipient shall prepare and implement a security management plan (SMP) in accordance with the requirements of ESS1 and ESS4 and acceptable to the Association.</p> <p>The Recipients (UNICEF, WHO, and UNOPS) activities are governed by the United Nations Security Management System (UNSMS), which, through designated officials in collaboration with Heads of UN Offices, ensures the security of their premises and staff.</p>	<p>The SMPs of the Parent project have been prepared and cleared. SMPs shall be updated for the AF prior to the Effective Date and implemented throughout Project implementation. Updated SMPS shall be applied to both the parent project as well as the AF.</p>	<p><i>UNICEF, WHO, UNOPS</i></p>
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT PLANS:</p> <p>Prepare, disclose, consult upon, adopt, and implement a Resettlement Framework (RF) acceptable to the Association which shall provide general guidelines and procedures for resettlement issues and compensation measures for the overall project, in accordance with the requirements of ESS5 and in a manner acceptable to the Association.</p> <p>Prepare, disclose, and implement sub-project-specific Resettlement Action Plans (RAPs) where the sub-project may involve land acquisition, physical and economic displacement and temporary restriction on use or access to land which can have adverse impacts on communities and persons. These restrictions on land use or access may cause temporary loss of income or livelihood.</p>	<p>The RF of the parent project was prepared and disclosed. The RF for the AF shall be updated, disclosed, consulted upon, and adopted prior to the Effective Date and implemented thereafter throughout the Project implementation. Updated RF shall be applied to both the parent project as well as the AF.</p> <p>The RAPs shall be prepared and disclosed prior to commencing implementation of respective sub-projects with resettlement impact.</p>	<p><i>UNOPS</i></p>
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>Sub-projects involving significant impacts on biodiversity or living natural resources, or their habitats shall be eliminated at ESMF Screening stage.</p>	<p>Throughout Project implementation</p>	<p><i>UNOPS</i></p>
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	<p>Not relevant</p>		

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 8: CULTURAL HERITAGE		
<p>8.1 CHANCE FINDS Implement the chance finds procedure described in the ESMF and included in all site-specific ESMPs involving sub-projects with earthmoving activities.</p> <p>PHYSICAL CULTURAL RESOURCES During screening, exclude any sub-projects or activities could have impact to any physical cultural resources</p>	<p>Throughout the project implementation</p> <p>During the screening</p>	<p>UNOPS</p>
ESS 9: FINANCIAL INTERMEDIARIES		
<p>Not relevant</p>		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		
<p>10.1 STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: Implement the joint Preliminary Stakeholder Engagement Plan prepared and disclosed.</p> <p>Update, disclose, consult upon, adopt, and implement the joint Preliminary Stakeholder Engagement Plan (SEP) consistent with ESS10 and in a manner acceptable to the Association.</p> <p>Conduct inclusive stakeholder engagement activities, including female stakeholders and vulnerable groups, and document the summary of consultations in sub-project-specific E&S plans.</p>	<p>The Joint Stakeholder Engagement Plan of the parent project was prepared and disclosed on September 22, 2021.</p> <p>The joint Stakeholder Engagement Plan was updated for AF and disclosed on June 4, 2022, and shall be implemented throughout project implementation. Updated SEP shall be applied to both the Parent Project as well as the AF.</p> <p>Sub-project-specific consultations shall be conducted prior to commencing the implementation of respective sub-projects and continued on an ongoing basis.</p>	<p>UNICEF, WHO UNOPS</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.2	<p>PROJECT GRIEVANCE MECHANISMS:</p> <p>Accessible grievance arrangements shall be maintained and remain available and functional to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, consistent with ESS10 and in a manner acceptable to the Association. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p> <ul style="list-style-type: none"> • UNICEF shall deploy the call center already established for the ongoing Yemen Emergency Health and Nutrition Project (YEHNP) and Emergency Cash Transfer (ECT). • WHO shall continue using the joint call center with UNICEF already used for YEHNP. • UNOPS shall deploy its hotline system already established for ongoing Yemen Integrated Urban Services Emergency Project (YIUSEP). • These mechanisms are planned to be carried over into the EHCP, leveraging the same hotline numbers, call center structure, call center staff who are already trained in the context of the EHNP, ECT, COVID-19 and IUSEP including on social safeguards and SEA / GBV disclosure, and established MIS. Refresher training on SEA and GBV disclosure handling shall also be provided to the call center agents whenever required consistent with ESS10, in a manner acceptable to the Association. 	<p>GMs are operational and shall be maintained throughout Project implementation</p>	<p><i>UNICEF, WHO, UNOPS</i></p>

CAPACITY SUPPORT (TRAINING)			
CS1	<p>Each Recipient will provide orientation/sensitization and training to targeted groups, including personnel involved in Project implementation, about relevant topics</p> <p>Training topics include the following:</p> <ul style="list-style-type: none"> • COVID-19 Infection Prevention and Control Recommendations • Toolbox meeting on OHS issues including the use of PPEs • Grievance mechanisms for workers and communities • GBV, including Code of conduct to prevent GBV and SEA • Occupational Health and Safety (OHS) • Stakeholder engagement • Labor Management Procedures • Community health and safety (including emergency prevention and preparedness, response arrangements to emergency) <p>Target groups include the following:</p> <ul style="list-style-type: none"> • Stakeholders including Contractor, • Support Consultants (Labor Management Procedures; OHS guidelines; community health and safety) • Project workers (OHS guidelines, provisions relating to LMP, GBV Risk). 	Throughout Project implementation	<i>UNICEF, WHO, UNOPS</i>