

ORIGINAL ARTICLE

Comparative Evaluation of Phenotypic and Genotypic Methods for Detection of Carbapenemases in Clinically Significant *Klebsiella pneumoniae* Isolates

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ABSTRACT

Key words:

Carbapenemase,
Modified Hodge test,
combined disc test,
Multiplex PCR,
carbapenem-resistant
K.pneumoniae

Background: Carbapenems are the most active and reliable treatment options against ever more prevalent *Klebsiella pneumoniae* (*K. pneumoniae*) that produce extended-spectrum β -lactamases, but their efficacy is threatened worldwide by carbapenemase production which is frequently associated with serious infections and higher mortality.

Objectives: The aims of this study were to detect occurrence of carbapenemase producing *K. pneumoniae* and to evaluate the use of Multiplex PCR for rapid detection of carbapenemase genes in comparison with phenotypic methods as modified Hodge test (MHT) and combined disc test (CDT). **Methodology:** Different clinical samples were obtained from 430 patients admitted to Zagazig University Hospitals over the period from June 2014-June 2015. They were cultured and *K. pneumoniae* isolates were identified. The antimicrobial susceptibility patterns were determined by disc diffusion test. Carbapenem-resistant isolates were selected and subjected to Modified Hodge test (MHT) for carbapenemase detection, combined disc test (CDT) for differentiation of Ambler classes of carbapenemases and multiplex PCR for detection of *bla*_{KPC}, *bla*_{NDM-1}, *bla*_{VIM}, *bla*_{IMP} and *bla*_{OXA-48} genes. **Results:** A total of non-duplicate 100 *K. pneumoniae* clinical isolates were collected from 430 clinical samples. By disc diffusion test, 42 Carbapenem-resistant *K. pneumoniae* isolates were detected. Thirty-four of the 42 isolates were MHT positive while 27 isolates were positive by CDT. MDT showed sensitivity & specificity of 100% & 47.06% respectively, while CDT showed sensitivity & specificity of 100% & 88.89% respectively. By PCR, it was found that out of 42 carbapenem-resistant isolates, 25 isolates harboring one gene. The most common resistance gene was *bla*_{OXA-48} (12/42) followed by *bla*_{KPC} (8/42) while the *bla*_{VIM} gene (4/42) while *bla*_{NDM-1} was detected only in one isolate. *bla*_{IMP} had not been detected in our isolates. **Conclusion:** Multiplex PCR is an accurate method for detection of carbapenemase production genes which overcomes the limitations of the phenotypic methods.

INTRODUCTION

Klebsiella pneumoniae (*K. pneumoniae*) is an encapsulated, lactose-fermenting, facultative anaerobic, rod-shaped gram negative opportunistic pathogen that can cause a plethora of infections including urinary tract infections, pneumonia, meningitis and sepsis.¹ Although being not inherently resistant to antibiotics, *K. pneumoniae* is a "collector" of multidrug resistance plasmids commonly encoding resistance to aminoglycosides as well as plasmids encoding extended-spectrum β -lactamases (ESBLs) being the index species for ESBLs, along with the chromosomal mutations conferring resistance to fluoroquinolones,

carbapenems were left as the first-choice drugs for the treatment of infections caused by *K. pneumoniae*.²

Then, global crisis of rapid dissemination of multidrug-resistant (MDR) *K. pneumoniae* strains producing carbapenemases encoded by transmissible plasmids had occurred. Again *K. pneumoniae* functions as a pool of potent β -lactamases.³ Carbapenemases can be allocated to three of the four classes of β -lactamases, Based on amino acid homology, namely, Ambler classes A, B and D. They can also be differentiated based on the hydrolytic mechanism at their active sites. Class A and D carbapenemases are referred to as serine carbapenemases (serine dependent), whereas class B carbapenemases are referred to as (MBL) metallo- β -lactamases (zinc dependent).²

Ambler class A carbapenemases are partially inhibited by clavulanic acid, a β -lactamase inhibitor. The most frequently identified class A carbapenemases are *Klebsiella* Producing Carbapenemase (KPC). KPC

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was first identified in 1996 in the eastern United States then spread to Greece, China, South America and Italy, furthermore it has occurred in other countries in sporadic cases.⁴

Class B MBL are able to hydrolyse all β -lactams except for aztreonam, a monobactam, and their hydrolytic activity is reduced or inhibited by EDTA; Ethylenediaminetetraacetic acid but not by clavulanic acid. The most common enzymes are VIM (Verona integron-encoded MBLs), IMP (active on imipenem) and NDM (New Delhi metallo-beta-lactamase). They have been detected Worldwide especially in Greece, Taiwan and Japan.⁵ Class D enzymes are referred to as OXA (Oxacillin resistant) carbapenemases, they are not inhibited by clavulanic acid or EDTA. The first identified class D enzymes of the OXA-48 was in Turkey in 2003. OXA-48 is now endemic in countries around the Mediterranean and is rapidly spreading into other countries in Europe.⁶

Carbapenemases can be detected by the Modified Hodge Test (MHT) and the carbapenemase inhibition tests, in addition to detection of specific carbapenemase production genes by molecular methods as PCR.⁷

This study was conducted to determine the occurrence of carbapenem -resistant isolates of *K. pneumoniae* isolated from patients admitted to Zagazig University hospitals. As well as comparing phenotypic and genotypic methods for detection of carbapenemases produced in these isolates.

METHODOLOGY

Type of the study:

This is an observational cross sectional study that was conducted at the Medical Microbiology and Immunology Department, Faculty of Medicine, Zagazig University, Egypt for the period from June 2014 to June 2015. Patients who were admitted to the Intensive Care Unit and different wards of Zagazig University hospitals were enrolled. This study obtained an approval from the local institutional review board (Zagazig University IRB).

Sample Collection:

Mid-stream urine, sputa, wound, and blood specimens were collected from 430 patients. Consents were obtained from patients or guardians.

Cultivation and Identification of isolates:

Samples were collected and processed using standard Microbiologic procedures.⁸ Mucoid lactose fermenting colonies were subjected to identification as *K. pneumoniae* by Gram staining and conventional tests⁸

Antimicrobial susceptibility testing of *K. pneumoniae* isolates

The antimicrobial susceptibility profiles of the isolates were determined by the disc diffusion method according to the Clinical and Laboratory Standards Institute (CLSI) guidelines⁹, using amoxicillin-clavulanic acid, piperacillin-tazobactam, ceftriaxone, ceftazidime, cefoperazone, cefotaxime, cefepime, meropenem, ertapenem, azteronam, gentamicin, amikacin, Levofloxacin, ciprofloxacin, trimethoprim/sulphamethoxazole, tigecycline and colistin. (Oxoid Ltd., Basingstoke UK).

Following the CLSI criterion, *K. pneumoniae* isolates showing resistance to one or more of the carbapenem discs and to one or more of the cephalosporins class III were selected for further testing by modified Hodge Test (MHT).⁹ Furthermore, these isolates were subjected to combined disc test (CDT) and genes detection by multiplex PCR.

Modified Hodge test to detect carbapenemase production

Carbapenemase production was detected by using the MHT. This test was performed as per the CLSI guidelines.⁹ The presence of an enhanced growth at the intersection of the streak and the zone of inhibition (notable indentation or "cloverleaf" appearance) indicated carbapenemase production (figure 1).

Combined disc test (CDT) to differentiate classes of carbapenemases:

CDT was performed as previously described by Tsakris et al.¹⁰ The diameter of the growth inhibitory zone around the meropenem disc with PBA, EDTA, or PBA plus EDTA was compared with that around the plain meropenem disc and the data were interpreted (Table 1). The temocillin was added to detect OXA carbapenemase¹¹

Table (1): Interpretation of phenyl boronic acid (PBA) and EDTA synergy tests and temocillin disc diffusion

Test	Definition of positive test result	Class A carbapenemase	Class B carbapenemase	Class A plus B carbapenemase	Class D carbapenemase
PBA synergy test	Meropenem± PBA ≥ 5 mm	+	-	-	-
EDTA synergy test	Meropenem± EDTA ≥ 5 mm	-	+	-	-
PBA+EDTA synergy test	Meropenem± PBA plus DPA ≥ 5 mm	+	+	+	-
Temocillin 30 μ g disc ^a	Temocillin zone ≤ 10 mm	-	±	-	+

a : Interpreted only if PBA or EDTA synergy is absent.

DNA Extraction and detection of bla_{NDM-1}, bla_{VIM}, bla_{IMP}, bla_{KPC} and bla_{OXA-48}:

DNA extraction was carried out according to CDC; Center of disease control and prevention, protocol by the boiling method.¹²

NDM -1, VIM, IMP & KPC genes were amplified through the Multiplex PCR using specific primers (Table 2). The PCR reaction mixture contained: 0.5 µL DNA (50 ng) in 24.5 µL Multiplex PCR Master Mix; QIAGEN®, Germany (containing: HotStarTaq® DNA Polymerase, Multiplex PCR Buffer, dNTP Mix, Q-Solution, 5x, RNase-Free Water) and 5 µl 10x primer mix; 2 µM each primer (Midland Certified Reagent Company Inc, USA). Each PCR program must start with an initial heat-activation step at 95°C for 15 min to activate HotStarTaq DNA Polymerase, then thermal

cycling (Biometra T gradient, Germany) for 30 cycles was done at 94°C for 1 min, 54°C for 1 min and 72°C for one and half min. and the final extension step was performed for 5 min at 72°C¹³

OXA-48 was amplified through PCR using specific primers (Table 2) using the following conditions: 94°C for 5 minutes; 35 cycles of 94°C for 60 seconds; 55°C for 45 seconds, and 72°C for 60 seconds; and a final heating at 72°C for 7 minutes.¹⁴ An universal 16S rRNA gene was amplified with 1500 bp product in each PCR run as positive control.¹⁵ Reagent control was included in each PCR run as negative control. PCR products were analyzed in parallel with a DNA MW-marker (iNtRON Biotechnology, Korea) by electrophoresis on 2% agarose gel (Figure 2)

Table 2: Primer sequences of 5 bla_{NDM-1}, bla_{VIM}, bla_{IMP}, bla_{KPC} and bla_{OXA-48} genes

Gene target	Primers sequence (5'-3')	Product size (bp)	Reference
NDM-1	GCATAAGTCGCAATCCCCG	237	13
	CTTCCTATCTCGACATGCCG		
VIM	GTTTGGTCGCATATCGCAAC	382	13
	AATGCGCAGCACCAGGATAG		
IMP	GAAGGCGTTTATGTTTCATAC	587	13
	GTAAGTTTCAAGAGTGATGC		
KPC	TCGAACAGGACTTTGGCG	201	13
	GGAACCAGCGCATTTTTTCG		
OXA-48	TTGGTGGCATCGATTATCGG	734	14
	GAGCACTTCTTTTGTGATGGC		

Statistical analysis:

Collected data were computerized and statistically analyzed using SPSS program version 18.0. Qualitative data were represented as percentages. Accuracy was represented using the terms sensitivity, specificity, positive predictive value, negative predictive value. Chi square test was used to calculate difference between qualitative variables. *P*-value of <0.05 indicates significant results.

RESULTS

Out of 430 different clinical specimens, 100 (23.25%) *K. pneumoniae* isolates were identified over the study period. The sources of the isolates were: urine (46%), pus (32%), blood (14%), and sputa (8%).

Carbapenem- resistance was detected in 42 *K. pneumoniae* isolates (42%) by agar disc diffusion screening test. The most common source of carbapenem-resistant *K. pneumoniae* isolates came from medical ICU (59.6%) followed by surgical ICU (19%),

the internal medicine wards (14.3%), and the least percentage was found in surgical ward (7.14%).

The susceptibility pattern of carbapenem resistant and sensitive *K. pneumoniae* isolates to antimicrobial agents is shown in table 3. In our study, carbapenem-resistant *K. pneumoniae* isolates comprised a large proportion of the isolates resistant to various antibiotic classes with significant association (Table 3). They were found to be 100% resistant to ceftriaxone, cefotaxime, ceftazidime, and cefoperazone. High resistance rates were also observed to cefepime (95.2%), azteronam (88.1%) amoxicillin-clavulanic acid (88.1%). However, only (4.8%) were found to be resistant to tigecycline and all the isolates were sensitive to colistin. (Table 3).

Out of the 42 carbapenem resistant isolates, 34 (80.95%) isolates were identified as carbapenemase producers by MHT; 27 isolates out of the 34 MHT positive isolates were positive by CDT, also by which different classes of carbapenemases were determined (Table 4).

Table 3: The antimicrobial resistance pattern among carbapenem resistant and carbapenem sensitive *K pneumoniae* isolates.

Antibiotic	Carbapenem-Resistant N=42		Carbapenem-Sensitive N=58	
	No.	%	No.	%
Colistin	0	0	0	0
Tigecycline	2	4.8	0	0
Levofloxacin*	11	26.2	2	3.44
Pipracillin/tazobactam	15	35.7	12	20.6
Gentamicin*	21	50	5	8.6
Amikacin*,	22	52.4	6	10.34
Ciprofloxacin *	27	64.3	11	18.96
Trimethoprim */sulphamethoxazole	35	83.3	28	48.27
Amoxicillin-clavulanic acid	37	88.1	51	87.9
Azteronam*	37	88.1	19	32.75
Cefepime*	40	95.2	32	55.17
Ceftazidime*	42	100	11	18.9
Cefotaxime*	42	100	11	18.9
Ceftriaxone*	42	100	11	18.9
Cefoperazone *	42	100	15	25.8

* Significant difference ($P < 0.05$, by chi-square test)

Table 4: Carbapenemases classes in carbapenem resistant *K. pneumoniae* isolates as detected by CDT

Classes of Carbapenemase	No. of <i>K.pneumoniae</i> isolates	% of <i>K.pneumoniae</i> isolates
Class A only	6	25.2
Class B only	7	16.66
Class A+B	2	4.76
Class D only	12	28.57

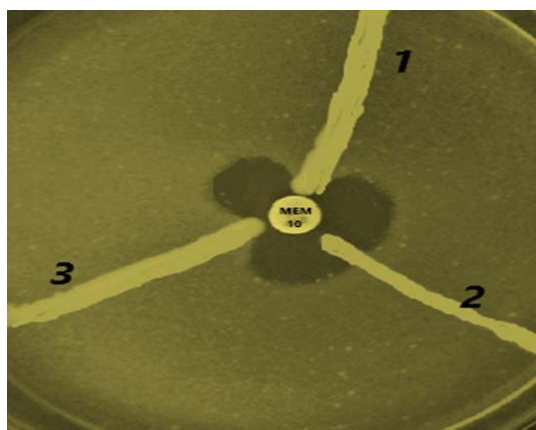


Fig. 1: Modified Hodge test: "Clover leaf"- shaped inhibition zones indicating carbapenemase production by the tested isolates (1&2), while isolate 3 gave negative result.

The results of the Multiplex PCR for five target genes are shown in (Fig-2). Out of 42 carbapenem resistant isolates, 25 isolates harbored one gene, while in 17 isolates none of the gene was detected. The most common resistance gene was *bla*_{OXA-48} (12/42) followed by *bla*_{KPC} (8/42) while the *bla*_{VIM} gene (4/42) while *bla*_{NDM-1} was detected only in one isolate. *bla*_{IMP} had not been detected in our isolates. Performance of MHT and

CDT in comparison to Multiplex PCR among carbapenemase producing isolates was assessed (table 5). MHT showed sensitivity and specificity of 100% & 47.06% respectively, while CDT showed sensitivity and specificity of 100% and 88.89% respectively

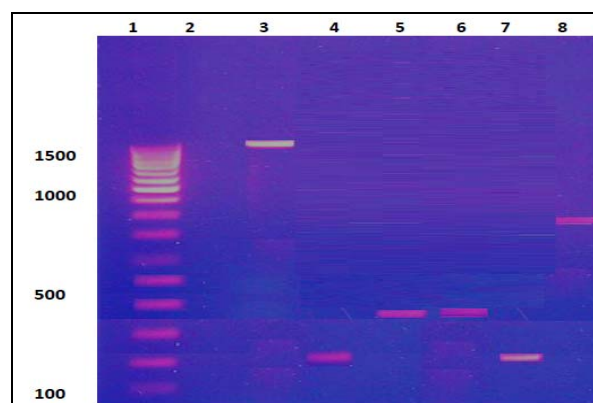


Fig. 2: Gel electrophoresis results of PCR amplicons of carbapenem-resistant *K. pneumoniae*. Lane 1: molecular weight marker, Lane 2: Negative control, Lane 3: 16S rRNA gene product as positive control (1500 bp), Lane 4&7: *bla*_{KPC} positive (201bp). Lane 5&6: *bla*_{VIM} (382 bp) and Lane 8: *bla*_{OXA-48} positive (734 bp)

Table 5: Performance of MHT and CDT in comparison to Multiplex PCR among carbapenemase producing *K. pneumoniae*

<i>Carbapenemase detection test</i>	<i>Class of carbapenemase</i>	<i>Sensitivity</i>	<i>Specificity</i>	<i>PPV</i>	<i>NPV</i>
PBA synergy test	A	100	100	100	100
EDTA synergy test	B	100	94.59	71.43	100
Synergy test with PBA plus EDTA	A & B	100	100	100	100
No synergy with PBA or EDTA and temocillin zone ≤ 10 mm	D	100	100	100	100
Overall CDT	A,B&D	100	88.89	100	100
Modified Hodge test	-	100	47.06	73.53	100

*PPV: Positive Predictive value, NPV: Negative Predictive value

DISCUSSION

The prevalence of carbapenem-resistant *K. pneumoniae* is on the rise worldwide, causing serious opportunistic infections resulting in a difficult situation to manage for clinical microbiology laboratories, clinicians and infection preventionists. Resistance is conferred by carbapenemases, which are β -lactamases that can breakdown essentially all β -lactams.²

In our study, *K. pneumoniae* was isolated from 100 (23.25%) isolates from 430 infections. In Al-Mansoura University Hospital, Egypt, *K. pneumoniae* represented 15.4%¹⁶, and in Al-Azhar University Hospital, Egypt, *K. pneumoniae* represented 14.2%.¹⁷

In the current study, 42 *K. pneumoniae* isolates (42%) were carbapenem-resistant. This result agreed with other results varying from 20 to 40 % in New York¹⁸, Greece.¹⁹ Higher results were shown in other studies reaching 83% in USA.²⁰

El-Sweify et al²¹ reported that 44.3% of *K. pneumoniae* isolates were carbapenem-resistant, while other Egyptian studies showed lower incidence reaching 13.9% in the Egyptian National Cancer²² and 14.2% in Al-Azhar University Hospital.¹⁷ The high frequency of carbapenem – resistant *K. pneumoniae* in the current study could be attributed to the excessive empirical use of carbapenems in our hospital, and the improper application of the infection control measures.

The highest percentage of carbapenem-resistant *K. pneumoniae* isolates came from ICUs which was 78.6% (medical and surgical ICUs). This is in agreement with other researchers who found most of their isolates detected from ICUs concluding that ICU stay was a risk factor for carbapenem-resistant *K. pneumoniae* acquisition.^{23,24}

Regarding antibiotic activity, it was found in our study that all carbapenem-resistant *K. pneumoniae* were susceptible to colistin and 95.2% were susceptible to tigecycline. This is in agreement with other studies^{25,26}

Carbapenem-resistant isolates showed significant resistance to amikacin and gentamycin compared with carbapenem sensitive isolates (52.4% vs 10.34%) and (50% vs 8.6%) respectively ($P < 0.05$) These results were coincided with other studies.^{26,27}

In the present study, although MHT was positive in 34 isolates, only 27 isolates were confirmed to produce carbapenemase by the carbapenemase inhibition tests. Kandeel²³ reported only 10 isolates were confirmed to be carbapenemase producers out of 24 MHT positive isolates. Pasteran et al²⁸ observed that false-positive isolates produced CTX-M-type ESBLs. Conversely, Miriagou et al⁷ reported false negative results on using MHT and it was explained by presence of MBL-producing isolates exhibiting weak carbapenemase activity.

The evaluation of MHT comparing to PCR was assessed showing sensitivity, specificity, PPV and NPV of 100%, 47.06%, 73.53% and 100% respectively. Our study concluded that MHT was simple, low cost, having high sensitivity (100%) and could be applied in routine microbiological laboratories, however, there are some limitations as being time consuming, specificity problems (false positive results) as well as, sensitivity problems (false-negative results shown in other studies).

As regards to carbapenemase classes, 28.57% of our isolates produced carbapenemase class-D, 25.2% produced class A enzyme, 16.66% produced class-B, and 4.76% produced combination of class-A and B enzymes (Table 4). Sonia et al.²⁹; found class D-carbapenemase in 13.7% of the strains. In the Asia-Pacific region, the SMART (Study for Monitoring Antimicrobial Resistance Trends) global surveillance program found that between 2008 and 2009, 42.7% of carbapenem – resistant *K. pneumoniae* strains produced class A, 23.6% produced class B, and 11.8% produced class D carbapenemases³⁰

The most frequent carbapenemase genes among our isolates was OXA-48 gene; Class D. It was detected in 12 (28.57%) of carbapenem-resistant isolates. Since first detection in Turkey, the gene emerged in the Middle East and North Africa and those countries are considered as reservoirs expanding to India, Senegal, and Argentina³¹ In Saudi Arabia, Memish et al³² declared that OXA-48 and NDM-1 are the dominant carbapenemases across the kingdom.

In our study, KPC activity was detected in 8 isolates by boronic acid test and all of them were confirmed by PCR to harbor bla_{kpc} gene, showing 100%

sensitivity and specificity. Similar results with 100% sensitivity and specificity were obtained in other studies.^{10,33} Mathers et al.³³ stated that CDT had a higher specificity compared to MHT for the detection of KPC-producing bacteria.

CDT used for detection of MBL showed sensitivity of 94.59 % and specificity of 71.43% as 5 isolates yielded positive PCR results (4VIM and 1 NDM-1). This may be explained by presence of rarer carbapenemases, such as bla_{NMC-A}, and bla_{GES} as the PCR targets only specific carbapenemase genes with a possibility of potentially missing genes not amplified by the primers used. Similar results were observed by Singh et al.³⁴.

Pournaras et al.³⁵ stated that CDT exhibited high sensitivity (94.8%) and excellent specificity equal to those of the PCR assay while effectively differentiating KPC and/or MBL production.

Our study demonstrated the co- production of KPC and MBL in 2 isolates. The production of both enzymes might contribute to their hydrolytic activity and levels of resistance to broad- spectrum β lactams, as well as to the possible co-migration of both enzymes.³⁶

OXA-48 (class D) was detected in 12 isolates by adding temocillin to CDT . On evaluated with PCR detection of bla_{OXA-48}, it showed 100% sensitivity and specificity. These results were in line with van Dijk et al.¹¹ Tsakris et al.³⁷ concluded that this OXA-48 disc test is an accurate phenotypic surrogate marker for the direct differentiation of OXA-48 producing isolates showing sensitivity and specificity of 96.3% and 97.7% respectively.

Compared to MHT, CDT is a satisfactory and inexpensive method for detection and characterization of different classes of the carbapenemases. The proposed CDT protocol exhibited 100% sensitivity and excellent specificity (88.89%). These results are in accordance with results obtained by Solanki et al.¹³ who stated that CDT has 100% sensitivity and specificity comparing to PCR, however they recommended molecular methods like Multiplex PCR for the optimal detection of carbapenemase. Kaase et al.³⁸ stated that multiplex PCR is more reliable test for carbapenemase detection over CDT.

Memish et al.³² evidenced that a combination of phenotypic tests for carbapenemase production in conjunction with PCR detection of carbapenemase genes had substantially improved the quality of the data.

CONCLUSION

Our study concluded that carbapenem resistant *K. pneumoniae* in Zagazig University Hospitals is an increasing problem, caused by the selective pressure exerted by intensively used carbapenems. This requires more attention to rationalize the antibiotic usage and strengthen the application of infection control

precautions. It is very important to detect and report carbapenemase production. The more rapid turnaround time of Multiplex PCR suggest this method might be more suitable as an initial screening test for carbapenemase production that overwhelms the limitations of the phenotypic methods, it would improve clinical consequences by optimizing the selection and beginning of appropriate antibiotic therapy in a timely manner.

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